

# **B3 SOCIO-ENVIRONMENTAL RESPONSIBILITY POLICY**

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## 1 PURPOSE

B3's Sustainability Policy aims to formalize and steer activity guidelines, to reaffirm sustainability's strategic importance for the Company and to guide the sustainability agenda's insertion, development and evolution within two facets of B3 SA - Brasil, Bolsa, Balcão: as a publicly held company and as an inductive agent of the Brazilian market.

## 2 SCOPE

The Sustainability Policy applies to all employees, interns and other staff of B3 S.A. - Brasil, Bolsa, Balcão, as well as its subsidiaries in Brazil and abroad.

## 3 REFERENCES

Because of its institutional nature, the Sustainability Policy correlates with the following corporate documents:

- Code of Conduct: Establishes rules for managers, employees and interns to avoid conflicts of interest, encompassing relationships with external and internal stakeholders, treatment of privileged information, and securities trading.
- Supplier Code of Conduct: Establishes principles and practices that must be part in the day-to-day routine of all those suppliers and commercial partners involved in building and maintaining relations that add value and develop society economically, socially and environmentally.
- Volunteer Code of Conduct: Realizes and reaffirms the company's commitment to promoting corporate volunteering as an instrument of social mobilization, citizenship and human development.
- Sustainability Committee Rules.

## 4 PRINCIPLES

B3's Socio-Environmental Responsibility Policy aims to promote sustainability

and private social investment aligned with the Company's strategy, contributing to the institutional strengthening of B3 and creating added value for our collaborators, clients, shareholders, suppliers and to society. Furthermore, through the commitment to best corporate governance practices, this policy also seeks to promote and assure good practices for transparency and the provision of accounts regarding socio-environmental information.

In conducting its sustainability activities, B3 considers the 2030 Agenda for Sustainable Development, launched by the United Nations in 2015, of which the Sustainable Development Goals (SDGs) are part. This agenda defines the global sustainable development priorities for 2030 and seeks to mobilize efforts around a common group of purposes, targets and indicators.

## 5 THE POLICY

B3's Socio-Environmental Responsibility Policy is structured into two pillars which group together relevant themes, Social and Environmental, to reaffirm its commitment to sustainable development, providing guidance for management of B3:

- **Environmental:** To minimize the environmental impacts associated with its activities, B3 pledges to adopt eco-efficiency programs in its facilities, optimize water, energy and paper use, and properly manage solid waste, including electronic waste and greenhouse gas emissions. Furthermore, the Company pledges to promote good environmental practices across its value chain and among other stakeholders, while providing the Brazilian market with products and services focused on the environmental market.
- **Social:** As a socially responsible company that respects its internal and external relationships and promotes Human Rights, B3 pledges to attract and retain talent, encourage the pursuit of an improved quality of life and develop its employees. It encourages agendas of diversity, inclusion and gender equality and encourages volunteer work, in accordance with the B3 Volunteer Policy. The Company is also committed to fostering good social

practices across its value chain and promoting private social investment, via B3 Social.

## 6 SUSTAINABILITY GOVERNANCE

In addition to the Communications, Sustainability and Social B3 Department, B3 has two other multidisciplinary spheres of governance that are responsible for the development and management of this Policy: the Sustainability Committee and the Sustainability Working Group.

Sphere	Composition	Frequency of Meetings
<b>Sustainability Committee</b>	CEO, Chief Officers and Outside Member	quarterly
<b>Sustainability Working Group</b>	B3's Communications, Sustainability and Social B3 Department and Associate Directors	quarterly

## 7 RESPONSIBILITIES

### 7.1 Communications, Sustainability and Social B3 Department

- Promotes the guidelines for the Socio-Environmental Responsibility Policy, adopting the necessary measures and reporting to the CEO.

### 7.2 Socio-Environmental Responsibility Committee

- Provides guidelines for the Socio-Environmental Responsibility Policy and approves planning of initiatives.

### 7.3 Sustainability Working Group

- Supports the Communications, Sustainability and Social B3 Department in conducting B3's sustainability agenda. Disseminates the culture of sustainability throughout the company and throughout its relationship areas, in order to engage the employees and help position B3 on this important theme.

## 7.4 Employees, Interns and Service Providers

- Understand B3’s Socio-Environmental Responsibility Policy and endeavor to follow its guidelines in their routines whenever appropriate.
- Help disseminate the Policy to their publics, as appropriate, as it is also aimed at a range of stakeholders.
- Contact the Communications, Sustainability and Social B3 Department to clarify doubts and request guidance.

## 8 FINAL PROVISIONS

**Validity:** as of July 26, 2019.

**1<sup>st</sup> Draft:** April 2013.

### Areas responsible for the document:

Responsible for	Area
Drafting	Communications, Sustainability and Social B3 Department
Revision	Communications, Sustainability and Social B3 Department Governance & Integrated Management Department
Approval	Board of Directors

### Change Log:

Version	Changed Item	Reason	Date
01	Original Version	N/A	N/A
02	Substitution of “Committee” by “Working Group”	Adjustment of the template and structure of the Company	Apr.30, 2015
03	Change to the name of the company in all of the document and change to the order of the text to the B3 standard	Adjustment of the template and structure of the Company	Aug. 11, 2017
04	Change of the name of the Policy to “Socio-Environmental Responsibility Policy” with a change to the order of content in	Content changed.	July 26, 2019.

accordance with the  
new name.